Minutes
UW School of Medicine and Public Health (UW SMPH)
Oversight and Advisory Committee (OAC)
July 18, 2012, 1:00pm, 4201 HSRC

Members Present: Philip Farrell, Cindy Haq, Chris Holmes, Katherine Marks, Doug Mormann, Greg Nycz, Pat Remington

Members Absent: Susan Goelzer

Staff: Quinton Cotton, Cathy Frey, Mary Jo Knobloch, Tonya Mathison, Ann McCall, Ken Mount, Karen Roach, Eileen Smith, Steve Smith

Guests: Tonya Evans (Racine LIHF), David Golden (UW Foundation), Rick Moss (UW SMPH), Tom Olson (UW Foundation), Samantha Perry (Racine LIHF), Sharon Schulz (Racine LIHF), Scott Stebbins (ABC for Health)

1. Call meeting to order

Remington called the meeting to order at 1:05 p.m.

2. Decision on draft June 20, 2012 OAC minutes

Mormann seconded a motion by Holmes to approve the draft minutes of June 20, 2012. The motion passed by unanimous vote.

3. Announcements

Wisconsin United for Health Foundation, Inc.
The Wisconsin United for Health Foundation, Inc. (WUHF) met on July 12, 2012 to review the Wisconsin Partnership Program (WPP) 2010 Annual Report. Extensive written responses to questions from WUHF were provided in advance. Following a presentation by Dean Golden, WPP leaders and several OAC members responded to follow-up questions from the board. The report was well received by the WUHF.

Cindy Haq inducted as Wisconsin Academy Fellow
Remington announced that Cindy Haq was inducted as a fellow in the Wisconsin Academy of Sciences, Arts and Letters. OAC members shared their congratulations.

Feedback from SMPH Transformation site visit
Remington reported that the feedback from the SMPH Transformation Advisory Group’s recent site visit was positive.

An additional announcement was shared:

Remington reported that Meg Gaines recently submitted her resignation from OAC. She is taking on a new role as Associate Dean for Academic Affairs and Experiential Learning at the UW Law School. On behalf of the OAC and the WPP, Remington presented Gaines with a plaque to thank her for her distinguished service to the WPP as a member of the OAC. OAC members shared their thanks and congratulations.
4. **Report from University of Wisconsin Foundation on management of Wisconsin Partnership Program endowment**

Tom Olson, Chief Investment Officer for the UW Foundation, provided an annual report to OAC on UWF’s management of the WPP endowment. He was joined by David Golden, Investment Analyst. Olson described the makeup and responsibilities of the Foundation’s investment team and committee. He also provided an overview of UWF’s investment policy framework, including long-term return assumptions, guiding principles, asset class guidelines and performance benchmarks. He added that the Foundation is making efforts to improve communications with its stakeholders, including the WPP and its governance committees. Nycz questioned how the return target of 7.75% was set. Mount clarified that 7.75% is net of the investment fees and that the projected annual endowment distribution for WPP is 4.5%. In response to a question by Holmes, Olson indicated that the Foundation has increased the number of staff given its new staff driven model. He emphasized that this model has resulted in cost savings. Being more selective in hedge funds has also contributed to cost reductions. Haq questioned what percent of UWF is comprised of the WPP funds, and whether those funds are handled any differently. WPP is approximately $300 million, or 15%, of the Foundation’s $2 billion in assets. Haq was also interested in knowing whether the Foundation implements socially accountable investment strategies. Olson replied that UWF’s portfolio is very diverse with investments in many types of companies. Nycz asked for an update on the percent of UWF’s budget that goes to investments versus fundraising. It was his understanding that approximately 30% goes to investment management and accounting and 70% to fundraising. He added that the WPP continues to pay for fundraising through UWF’s fees to the endowment, but does not directly benefit from such efforts. He suggested allocating some of those funds to the UWSMPH for its transformation efforts. Olson responded that he will convey that suggestion to UWF.

5. **Discussion of and decision on Wisconsin Partnership Program 2011 Annual Report and OAC nonsupplanting attestation**

Eileen Smith presented the WPP 2011 Annual Report for approval by OAC. The report includes revisions and additions made by the OAC and PERC over the past month. Haq seconded a motion by Nycz to approve the report. The motion passed by unanimous vote. The report will go to the UW System Board of Regents and the Wisconsin United for Health Foundation.

Mount presented his annual attestation of nonsupplanting for OAC initiatives. All OAC initiatives listed on the 2011 attestation, which includes new awards and active grants, were reviewed to determine whether use of the WPP funds had complied with the supplanting prohibition in the Insurance Commissioner’s Order, as specified in the addendum of the 2004-2009 Five-Year Plan. Mount signed the attestation indicating that financial support by the WPP did not result in supplanting. Mormann seconded a motion by Nycz to accept the nonsupplanting attestation as distributed. The motion passed by unanimous vote. Nonsupplanting attestations will also be reviewed and signed by Dean Golden on behalf of the UW SMPH and by Darrell Bazzell on behalf of the UW System and UW-Madison.

6. **Partnership Education and Research Committee (PERC) report**

Moss reported on the July 9, 2012 PERC meeting. PERC, through its Collaborative Health Sciences Program, supports interdisciplinary collaborative teams led by senior faculty in the UW SMPH to address compelling health issues. In July, PERC invited five applicants to present overviews of their projects at the September meeting. PERC plans to make two awards, each for up to $500,000. PERC recently
released the RFP for the 2012 funding cycle of the New Investigator Program, which supports assistant professors beginning their careers with research interests in addressing Wisconsin’s public health issues. Preliminary applications are due August 1. In December, the committee plans to fund three, two-year projects of up to $100,000 each.

After considering additional information provided by Marc Drezner on the targeted renewal application for the Institute for Clinical and Translational Research (ICTR), PERC unanimously voted to approve the $10.2 million budget over three years. PERC’s award is leveraged by NIH’s recent renewal of the Clinical and Translational Sciences Award for $41.5 million.

PERC’s strategic planning meeting will take place on August 13. This will jump start PERC’s planning efforts for the 2014-2019 Five-Year Plan, which is the topic of the joint meeting of OAC and PERC in October. He added that the WPP will be holding an election for three new faculty positions on PERC (basic science, clinical sciences, and public health). The newly elected members will join PERC in September.

7. Nominating committee report

Mormann, chair of the OAC Nominating Committee, reported on a meeting that took place earlier today. The committee, made up of OAC’s public members, was charged with soliciting nominations to fill the public member position left by Chris Holmes who resigned effective October 2012. In August, the Nominating Committee will review a draft position description and timeline and outreach plan for solicitation of potential nominees. Following a month-long open nomination process, the committee will interview finalists in October and bring a recommendation to OAC’s November meeting. The nominee will be presented to the UW Board of Regents in December 2012.

8. Community-Academic Partnership Fund

Community Grants Program

Discussion of Notices of Intent and decision on invitations to submit full applications

Knobloch presented and overview of the notices of intent submitted during the 2012 funding cycle of the Community-Academic Partnership Fund – Community Grants Program. The WPP received 52 notices of intent, 38 development and 14 implementation. Core program staff completed an initial technical and merit review of the notices of intent, and then met with Doug Mormann to discuss each.

Knobloch shared the reviewers’ recommendation to invite nine top-ranked implementation grant applicants (seven large-scale and two small-scale) and 20 top-ranked development grant applicants (eight partnership development and 12 pilot/feasibility) to submit full applications. Reviewers considered the review criteria outlined in the RFP as well as broader objectives outlined in the Five-Year Plan and WPP’s organizational strategies. Holmes seconded a motion by Nycz to approve the recommendation to invite 29 full applications. The motion passed by unanimous vote.

Applicants will be notified of their status. Those invited to submit full applications will be encouraged to attend Technical Assistance Days in mid-August. Full applications, due September 6, will undergo expert external panel review.
Discussion and decision on external reviewers

Knobloch presented the proposed list of external reviewers for consideration by OAC. Mormann seconded a motion by Gaines to review the list to ensure diversity (region, expertise, gender, race and ethnicity) and to ensure that the conflict of interest policy is fully adhered to. The motion passed by unanimous vote. The finalist will be presented to OAC for approval in September.

Lifecourse Initiative for Healthy Families

Steering Committee report

Farrell and Marks reported on the July 11, 2012 LIHF Steering Committee meeting. The meeting included a reading of the Official Proclamation from the Beloit City Manager recognizing infant mortality as an important public health challenge and acknowledging the Beloit LIHF Collaborative. Paul Moberg and Nancy Eberle presented the evaluation plan for LIHF. The Steering Committee discussed two documents, one outlining the commitment to regional collaboration and another listing support and resources. Marks acknowledged a related letter from Sharon Shulz on behalf of Racine LIHF.

Project grants RFP released

Cotton reported that grant information sessions for the LIHF 2012 Request for Partnerships are underway in each of the four communities, Beloit, Kenosha, Milwaukee and Racine.

Update on LIHF Collaboratives

There were a number of conditions delineated by OAC in the awarding of the implementation grants to each of the LIHF Collaboratives. Cotton provided a status update on each. Revised work plans, distributed to OAC, were submitted by three of the Collaboratives. The work plans were thoroughly reviewed and approved by staff. Mormann seconded a motion by Marks to accept Cotton’s report. The motion passed by unanimous vote.

9. OAC quarterly grant and financial status report

WPP staff provided the OAC grant and financial status report for the second quarter (April-June) of 2012. There are 38 active grants, including 32 Community-Academic Partnership Fund grants, two Public Health Education and Training grants, and four Lifecourse Initiative for Healthy Families grants.

Mary Jo summarized preparatory work with the 2011 Community Grant Program implementation grants. Wisconsin Partnership for Activity and Nutrition (WIPAN) had a number of administrative and work plan changes, which changed the management structure of the grant. With the hiring of the project director through the Collaborative Center for Health Equity (CCHE) in the UWSMPH, a fiscal sponsor was no longer necessary.

The Program Officers have reviewed a number of interim progress and final reports, all of which have been approved. They continue to provide training and technical assistance to applicants and grantees. Steve Smith indicated that there are no financial issues related to the active grants. Since April, there has been one no cost extension request which was approved administratively.
10. Adjourn – Next meeting September 19, 2012

Remington adjourned the meeting at 3:00pm.

Recorder, Tonya Mathison
Secretary, Chris Holmes